

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(f) AND 1.27 (c)) - SMALL BUSINESS CONCERN

Docket No. 5201.001

STATES (57 CFK 1	.5(1) AND 1.27 (c)) - SMAL	E BOSINESS CONCERN			
Serial No.	Filing Date	Patent No.	Issue Date		
Applicant/ HEINEY, Allan Patentee:					
Invention: OPTICAL DISC	CRIMINATOR FOR TRANSMI	TTING AND RECEIVING IN B	OTH OPTICAL FIBER		
FREE SPACE APPLICATION					
TREE OF NOE ALTERNATOR					
I hereby declare that I am:					
the owner of the s	mall business concern identified	d below:			
an official of the si	nall business concern empowe	red to act on behalf of the conce	ern identified below:		
NAME OF CONCERN: Dip	alav				
	6655-R Amberton Drive, Baltin	nore, Maryland, 21075			
		1010, 11241 , 14114, 210 / 0			
I hereby declare that the above-identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.					
I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the above identified invention described in:					
the specification filed herewith with title as listed above.					
☐ the application identified above.					
☐ the patent identified above.					
·		opport or not ovaluable			
If the rights held by the above-identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed on the next page and no rights to the invention are held by any person, other than the inventor, who could not qualify as an independent inventor under 37 CFR 1.9(c) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).					

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:						
	-		r organization e or organization	xists. n is listed below.		
FULL NAME ADDRESS		Individual		Small Business Conce		Nonprofit Organization
FULL NAME ADDRESS						
FULL NAME		Individual		Small Business Conce	rn 🗆	Nonprofit Organization
ADDRESS FULL NAME		Individual		Small Business Conce	rn 🗆	Nonprofit Organization
ADDRESS		Individual		Small Business Conce	m 🗆	Nonprofit Organization
Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27) I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b)) I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.						
NAME OF PERSON SIGNING: TITLE OF PERSON SIGNING OTHER THAN OWNER: ADDRESS OF PERSON SIGNING:		Preside	. Clifford		<u> </u>	
			nberton Drive , MD 21075			
SIGNATURE:	نک		>		DATE: Z/ 14	0/2000

Docket No. 5201.001

Declaration and Power of Attorney For Patent Application

English Language Declaration

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original,

first and joint inventor which a patent is sough	•	sted below) of the subject matter w titled	hich is claimed and for
OPTICAL DISCRIMINA FREE SPACE APPLICAT		TING AND RECEIVING IN BOTH OP	TICAL FIBER AND
the specification of whi	ch		
(check one)			
☑ is attached hereto.☑ was filed onApplication Number		as United States Application No	. or PCT International
and was amended	on	(if annihina)	
		(if applicable)	
		erstand the contents of the above endment referred to above.	identified specification,
	•	nited States Patent and Trademar ty as defined in Title 37, Code o	
Section 365(b) of any any PCT International listed below and have	foreign application(s) application which desiration which desiration delow, PCT International ap	der Title 35, United States Code, for patent or inventor's certificate ignated at least one country other to by checking the box, any foreign a plication having a filing date before	e, or Section 365(a) of than the United States, pplication for patent or
Prior Foreign Application	on(s)		Priority Not Claimed
(Number)	(Country)	(Day/Month/Year Filed)	
(Number)	(Country)	(Day/Month/Year Filed)	
(Number)	(Country)	(Day/Month/Year Filed)	





I hereby claim the benefit unde application(s) listed below:	er 35 U.S.C. Section 119(e)	of any United States provisional
(Application Serial No.)	(Filing Date)	
(Application Serial No.)	(Filing Date)	
(Application Serial No.)	(Filing Date)	
Section 365(c) of any PCT Internations insofar as the subject matter of elunited States or PCT International U.S.C. Section 112, I acknowledge Office all information known to make the section 150 of the	ational application designating the cach of the claims of this application in the manner proge the duty to disclose to the Urne to be material to patentability ble between the filing date of the contractions.	ny United States application(s), or ne United States, listed below and, cation is not disclosed in the prior ovided by the first paragraph of 35 nited States Patent and Trademark by as defined in Title 37, C. F. R., e prior application and the national
(Application Serial No.)	(Filing Date)	(Status)

(Application Serial No.)

(Filing Date)

(Status)
(patented, pending, abandoned)

(Application Serial No.)

(Filing Date)

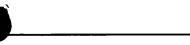
(Status)
(patented, pending, abandoned)

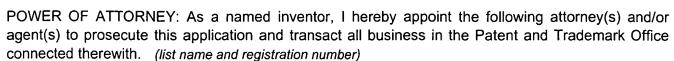
(Application Serial No.)

(Filing Date)

(Status)
(patented, pending, abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.





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Full name of second inventor, if any	
Second inventor's signature	Date
Residence	
Citizenship	
Post Office Address	
	

Form PTO-SB-01 (6-95) (Modified)